



Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers/Depository Participants on their respective websites**

**Name of Intermediary : Tracom Stock Brokers Pvt Ltd**

**Member of : NSE, BSE & DP of NSDL**

**TM CODE : NSE – 08154 BSE – 6148 DP ID – IN 302332**

**SEBI Regn No : INZ000246930 (Stock Broker) IN-DP-178-2015 (DP)**

**Data for month ending MAY 2023**

S N	Received from	Carried forward from previou s month	Receive d during the month	Total Pendin g	Resolved*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g or less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	NA NA
2	SEBI ( SCORES) TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	NA NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Depositories	Nil	Nil	Nil	Nil	Nil	Nil	NA
5	Other Sources (if any)							

	TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	Nil Nil	NA NA
6	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	Nil	NA

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	June - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
2	July – 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
3	Aug - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
4	Sep - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
5	Oct - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
6	Nov - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
7	Dec - 2022 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
8	Jan – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
9	Feb – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
10	Mar – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
11	Apr – 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
12	May– 2023 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil

	<b>Grand Total</b>				
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\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
2	2018-19 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
3	2019-20 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
4	2020-21 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
5	2021-22 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
6	2022-23 TM DP	Nil Nil	Nil Nil	Nil Nil	Nil Nil
	<b>Grand Total</b>				